



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT

☒ In re application of: Ho et al.

Group No. Unassigned

Serial No.: 10/769,960

Examiner: Unassigned

Filed: February 2, 2004

For: Method of Preparing Reaction Regions for Biochips

☐ Patent No.\*

Issued:

\*NOTE: Insert name of inventor(s) and title also for patent. Where notification is with respect to a maintenance fee payment, also insert application serial number and filing date, and send to United States Patent and Trademark Office, P.O. Box 371611, Pittsburgh, PA 15250-1611.

Mail Stop

Commissioner for Patents

P.O. Box 1450

Alexandria, Virginia 22313-1450

NOTIFICATION OF ERROR IN PAYMENT OF FEE(S) AS A SMALL ENTITY  
(37 C.F.R. §1.28(C))

Filing of Small Entity Statement

1. On February 2, 2004, a small entity statement was filed in this

☒ application,

☐ patent.

Payment of Fee(s) as Small Entity

(complete the following applicable item(s))

2. ☒ The filing fee was paid on February 2, 2004

☐ The issue fee was paid on

☐ The 3 1/2 year maintenance fee was paid on

☐ Other:

CERTIFICATE OF MAILING (37 C.F.R. 1.8)

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being

☒ deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope to the: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

☐ transmitted by facsimile to the Patent and Trademark Office.

Date:

February 16, 2004

Hui Chin Barnhill

## Error

3. The error in the payment of fee(s) as small entity was as follows:

- ☐ Although applicant is a small entity, the small entity statement was, through error, not signed by all persons or entities having an interest.
- ☐ Although applicant is a small entity, the small entity statement was, through error, not signed by proper authorized person.
- ☒ Although erroneously believed itself entitled to small entity status, and has now discovered that this is not so.
- ☐ Before the payment of the fee, a change occurred whereby small entity status could not be claimed by applicant, and through error, the PTO was not notified.
- ☐ Other:

## Fee Payment for Deficiency

**WARNING:** "The deficiency is based on the amount of the fee for other than a small entity, in effect at the time the deficiency is paid in full" 37 C.F.R. §1.28(c).

(check and complete the following applicable item)

4. ☐ No fee for deficiency is due, because this notification is within two months of the time of the payment of the fee, and there is attached a correct statement for the status of the applicant as small entity. (37 C.F.R. 1.28(a)).
- ☒ Payment is attached for the sum of \$385.00, for the deficiency between the amount of fee(s) paid and the amount due.

*Note: Calculation of deficiency amounts are to be based on the fee levels in effect at the time of the payment of the deficiency. Refer to notice of September 14, 1992, 1143 O.G. 33-40, at 33 and 37 C.F. R. 1.28(c).*

## Fee Payment

5. ☐ Attached is a check in the amount of \$
- ☐ Charge our Account 20-0778 in the amount of \$ . A duplicate of this paper is attached.
- ☐ Please charge our Account 20-0778 for any fee deficiency.
- ☒ Attached is Credit Card Authorization Form (PTO-2038) in the amount of \$385.00

**Further Status As Small Entity**

☐ Because a proper small entity statement

☐ has been filed on

☐ is attached

the status of this case is as a small entity.

☒ Status as a small entity is hereby withdrawn.

Date: Feb 16, 2004



**Daniel R. McClure, Reg. No. 38,962**  
Practitioner of Record

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(if applicable)

- ☐ Inventor
- ☐ Assignee of complete interest
- ☐ Person authorized to sign on behalf of assignee
- ☒ Practitioner of Record
- ☐ Filed Under Rule 34(a) Registration No.: